



**For Immediate Release**

Oct. 1, 2021

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## **Ohio Division of Securities Provides Top 5 Investing Tips During World Investor Week Oct. 4-10**

(COLUMBUS, Ohio) - World Investor Week is a global campaign promoted by [International Organization of Securities Commissions](#) to raise awareness about the importance of investor education and protection. To help keep Ohio investors safe from fraud, here are five tips to keep in mind and resources to check before investing in any securities product or hiring a financial professional:

**Plan and Invest According To Your Goals** - An important first step to successful investing is to [determine your goals](#) and risk tolerance before you make any investing decision. If you've never made a financial plan before, seek the help of a licensed financial professional.

**Do Research Before Investing** - Ask questions about investments and verify the answers with unbiased sources. Investors can check whether an investment is registered with the U.S. Securities and Exchange Commission (SEC) by using its [EDGAR database](#).

**Verify An Investment Professional Is Licensed** - Investment fraud is often committed by people who are not properly licensed. [The SEC website](#) and [FINRA's BrokerCheck](#) offer free tools to find out if an investment professional is licensed and registered, and if he or she has any customer complaints. The Ohio Division of Securities also can provide detailed information about Ohio-licensed investment professionals. Call the Ohio Investor Protection Hotline: 1-877-683-7841.

**All Investments Have Risk** - Every investment carries some degree of risk. If you plan to buy securities, it's important to understand you could lose some or all of your money in the investment. Never trust anyone who says the investment has "no risk" or is "guaranteed to make money." [Those are red flags](#).

**Avoid "Get Rich Quick" Schemes** - Promises of high returns with little or no risk are classic warning signs of fraud. Avoid "can't miss" investment opportunities. If you suspect fraud, file a report with the SEC, FINRA, or [the Ohio Division of Securities](#).

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*The **Division of Securities** is part of the Ohio Department of Commerce. The department is Ohio's chief regulatory agency, focused on promoting prosperity and protecting what matters most to Ohioans. We ensure businesses follow the laws that help them create jobs and keep Ohioans safe. To learn more about what we do, visit our website at [www.com.ohio.gov](http://www.com.ohio.gov).*

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