



For Immediate Release

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**Ohio Division of Securities
Issues Summary of Division Orders and Enforcement Actions
Nov. 1–30, 2019**

(COLUMBUS, Ohio) — The following administrative orders represent official action taken by the Ohio Division of Securities from Nov. 1-30, 2019.

Orders are issued as either a Notice of Opportunity for Hearing (NOH) or as a final order. The NOH details allegations by the Division for violations of the Ohio Securities Act and gives the respondent notice of the right to an administrative hearing. NOHs are public records, but do not represent the final determination in a pending matter.

Final orders contain findings by the Division and represent the final disposition of a matter pending before the Division. All final orders of the Division contain appeal rights to common pleas courts within a specific time.

Details of any Division order can be found at:

https://www.comapps.ohio.gov/secu/secu_apps/FinalOrders/

Division Orders

Order No. 19-035

Nov. 8, 2019

Stable Asset Fund I Ltd. aka Stable Asset Fund, L.L.C.

Westerville, Ohio

Dublin, Ohio

- Final Cease and Desist Order

Order No. 19-036

Nov. 15, 2019

Katrina Farmer aka Katrina Seiter

A Voice 4 U, LLC

Bellbrook, Ohio

- Final Cease and Desist Order

Order No. 19-037

Nov. 15, 2019

Sarbojeet Jana

Abstract Tube, Inc.

Columbus, Ohio

- Final Cease and Desist Order
- Consent Agreement

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Order No. 19-038

Nov. 19, 2019

Crossroads Community Church, Inc.

Brian Tome

Cincinnati, Ohio

- Final Cease and Desist Order
- Consent Agreement

For details of any Division Order, you can search our online database at:

https://www.comapps.ohio.gov/secu/secu_apps/FinalOrders/

Enforcement Actions

Jerry Fuqua

On Nov. 7, 2019, Jerry Fuqua, 76, was sentenced to 18 months in prison and three years supervision after release by Hamilton County Common Pleas Judge Lisa Allen.

Fuqua pleaded guilty in October to one count of theft from the elderly and one count of securing writings by deception, both third-degree felonies. He was indicted July 30 by a Hamilton County grand jury following a criminal referral by the Ohio Division of Securities.

The indictment alleged he solicited \$20,000 from an elderly Ohio investor for fictitious stock certificates issued by Fuqua Corporation, which was shut down by the Ohio Department of Taxation in 2009 for failure to pay corporate franchise tax.

This case was prosecuted by the office of Hamilton County Prosecutor Joseph T. Deters.

Michael D. Wood

On Nov. 22, 2019, Michael D. Wood, 68, was indicted by a Delaware County grand jury for multiple counts of securities fraud and theft. The charges include:

- Two counts of securities fraud, both fourth-degree felonies.
- Three counts of securities fraud, all third-degree felonies.
- One count of theft from the elderly, a third-degree felony.
- One count of theft, a fourth-degree felony.
- One count of falsification, a first-degree misdemeanor.

The indictment alleges Wood solicited five Ohio investors to invest more than \$70,000 in foreign currency investments based on false and fraudulent information. The indictment further alleges Wood misappropriated the investment funds for personal use.

This case is being prosecuted by the Delaware County Prosecutor's Office of Melissa A. Schiffel.

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Before investing, the Division of Securities encourages potential investors to call the Division's Investor Protection Hotline at 1-877-683-7841 to ask:

- Is the brokerage firm and salesperson licensed to sell securities in Ohio?
- Have any enforcement actions been taken against them?
- Has the security been properly registered with the Division of Securities?

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