



John R. Kasich
Governor

Jacqueline T. Williams
Director

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Ohio Securities Conference Addresses Impact of Technology on the Securities Industry

Conference Theme: Technical Innovation & Investor Protection; Finding the Right Balance.

Industry experts, legal scholars, securities practitioners and regulators will meet in Columbus on Friday, Oct. 27, to discuss technology-related issues facing investors and the investment industry during the 2017 Ohio Securities Conference. The conference runs from 8 a.m. to 5 p.m. at The Downtown Westin Columbus Hotel.

Co-sponsored by the Ohio Department of Commerce - Division of Securities and the University of Toledo College of Law, the annual conference is the only continuing legal education program dedicated exclusively to Ohio securities law and practice. Conference attendees represent the Ohio securities bar, broker-dealer and investment adviser firms, compliance professionals and other regulatory and law enforcement agents.

“We have an excellent lineup of experts speaking at this year’s conference, some who work in the securities industry and others who focus on internet security for their organizations,” said Ohio Securities Commissioner Andrea Seidt. “Several important topics will be covered including cybersecurity and risk-management tools, fighting internet fraud, FINRA guidelines for using social media and a debate about the regulation versus deregulation of internet offerings.”

Registration information and additional conference details are available on the Division’s [website](#). Registration is available either online or by mail. The deadline to register is Oct. 20.

Conference Topics and Speakers

Opening remarks by Commissioner Seidt and Jaqueline T. Williams, director of the Ohio Department of Commerce.

Morning Sessions:

Cybersecurity and Risk Management in the Financial Services Industry

How to avoid a data breach and what to do if your firm is hacked.

- **John Kennedy**, Assistant Vice President, Nationwide Insurance
- **Justin Root**, Of Counsel, Dickinson Wright, LLC
- **John Reed Stark**, President, John Reed Stark Consulting, LLC



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Fighting Internet Fraud: Facts, Methods, Tools & What's Next

An examination of internet fraud and how law enforcement is combating this crime.

- **Jake van der Laan**, Director of Enforcement and Chief Information Officer, New Brunswick Financial and Consumer Services Commission
- **Mark Henderson**, Security Specialist, Online Fraud Detection and Prevention, Internal Revenue Service

Division Updates

The Division's annual regulatory updates will be held during lunch. Speakers include Licensing Chief Counsel Anne Followell, Enforcement Chief and Attorney Inspector Janice Hitzeman and Registration Chief Counsel Mark Heuerman. Thomas Geyer, former Ohio Securities Commissioner now with the law firm of Bailey Cavaleri, will present with Mark Heuerman on recent developments in federal corporate finance.

Afternoon Sessions:

Social Media & Digital Communications

FINRA Regulatory Notice 17-18; Advertising Guidelines

- **Amy Sochard**, Senior Director, Advertising Regulation, FINRA
- **Erin F. Siegfried**, Partner, Porter Wright Morris & Arthur, LLP

Internet Offerings, Crowdfunding, Peer-to-Peer Lending & Other Current Topics in Securities Offerings

A Point-Counterpoint discussion on the merits of deregulation vs. enhanced regulation of internet offerings

- **Eric Chaffee**, Professor of Law, University of Toledo College of Law
- **Joan Heminway**, Professor of Law, University of Tennessee College of Law
- **Charlie Korsmo**, Associate Professor of Law, Case Western Reserve School of Law

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